

Abstract

In a trading environment that comprises buy side traders and securities brokers, a computer process capable of
5 preventing securities brokers from receiving information irrelevant to a commission payment for a securities transaction. The process comprises means for providing an overview of commission payments for a predetermined time period, means for providing details of step-out payments
10 for the predetermined period, means for adjusting step-in amounts and frequencies, means for providing notification that a commission payment has been stepped out and is capable of being credited, means for automatically suggesting and performing step-ins and step-outs, and means
15 for assessing the step-outs and step-ins against a predetermined budget.